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# **Privacy-Preserving Machine Learning**

**22.10.2024**



#### **ML as a Service**

- Client delegates the ML service to service provider (Server)
- Separation of specialization
- Cost reductions





#### **Use case**

#### **Medical prognosis using machine learning**



Radiology Lab



#### **Use case**

### **Medical prognosis using machine learning**



Radiology Lab

#### Problems:

- The ML Provider cannot share the model as it may be proprietary
- The laboratory can not send input data to the ML Provide because of legal prohibitions or complex agreements.
- Privacy risks



#### **Use case**

### **Medical prognosis using machine learning**



Radiology Lab

**Is it possible to do this computation without the radiology lab ever sharing the patient's sensitive data and the ML provider sharing its proprietary model?**



## **Use case Estonian students study**



**Estonian students study.** In Estonia, a country with arguably the most advanced e-government and technology awareness, alarms were raised about graduation rates of IT students. Surprisingly, in 2012, nearly 43% of IT students enrolled in the previous five years had failed to graduate. One potential explanation considered was that the IT industry was hiring too aggressively, luring students away from completing their studies. The Estonian Association of Information and Communication Technology wanted to investigate by mining education and tax records to see if there was a correlation. However, privacy legislation prevented data sharing across the Ministry of Education and the Tax Board. In fact,  $k$ -anonymity-based sharing was allowed, but it would have resulted in low-quality analysis, since many students would not have had sufficiently large groups of peers with similar qualities. MPC provided a solution, facilitated by the Estonian company Cybernetica using their Sharemind framework (Bogdanov et al., 2008a). The data analysis was done as a three-party computation, with servers representing the Estonian Information System's Authority, the Ministry of Finance, and Cybernetica. The study, reported in Cybernetica  $(2015)$  and Bogdanov  $(2015)$ , found that there was no correlation between working during studies and failure to graduate on time, but that more education was correlated with higher income.

Source: Evans, David, Vladimir Kolesnikov, and Mike Rosulek. "A pragmatic introduction to secure multi-party computation." \_Foundations and Trends® in Privacy and Security\_ 2.2-3 (2018): 70-246.

## **Use case Private set intersection**

CSAM Detection enables Apple to accurately identify and report iCloud users who store known Child Sexual Abuse Material (CSAM) in their iCloud Photos accounts. Apple servers flag accounts exceeding a threshold number of images that match a known database of CSAM image hashes so that Apple can provide relevant information to the National Center for Missing and Exploited Children (NCMEC). This process is secure, and is expressly designed to preserve user privacy.







#### Apple CSAM Detection

## **Use case Private set intersection**

Apple CSAM Detection

Password Monitoring

Communication Safety



[https://www.apple.com/child-safety/pdf/Apple\\_PSI\\_System\\_Security\\_Protocol\\_and\\_Analysis.pdf](https://www.apple.com/child-safety/pdf/Apple_PSI_System_Security_Protocol_and_Analysis.pdf) [https://www.apple.com/child-safety/pdf/CSAM\\_Detection\\_Technical\\_Summary.pdf](https://www.apple.com/child-safety/pdf/CSAM_Detection_Technical_Summary.pdf)

### **Other applications**

- Secure machine learning
- privacy-preserving network security monitoring (Burkhart et al., 2010)
- privacy-preserving genomics (Wang et al., 2015a; Jagadeesh et al., 2017)
- Contact discovery (Li et al., 2013; De Cristofaro et al., 2013)
- Spam filtering on encrypted email (Gupta et al., 2017)
- Internet Voting, Credit score, everything which involves sensitive data...

#### **Problem statement**

- **S**erver learns nothing about **C**lient's input;
- **C**lient learns nothing about the **S**erver's model;
- Yet the predictions are correct.

# Solution: Oblivious Neural Networks

## Solution: Oblivious Neural Networks Privacy Preserving Machine Learning

## **Privacy Preserving Machine Learning**

- The solution requires drawing knowledge from four fields of science
	- Machine learning
	- Computation theory,
	- Digital systems theory,
	- and Cryptography,

## **Neural Networks**

- A neural network is a pipeline of layers. Each layer receives an input signal, processes it, and generates an output signal, which becomes the input for the next layer. The first layer receives the input data x, and the output signal of the last layer is the prediction result C(x, w).
- A typical neural network layer performs a linear transformation (matrix multiplication and addition), followed by a nonlinear transformation (an activation function, and sometimes pooling to reduce data resolution). Predictions using neural networks can be represented as a pipeline of transformations:

$$
x \to f_1 \to a_1 \to \dots \to f_n \to a_n \to y
$$

## **Neural Networks**

- The goal of these transformations is to distort the input data space in such a way that it becomes linearly separable—that is, to create a line (if the input data is described in two dimensions), a plane (in three dimensions), or a hyperplane (in n + 1 dimensions) that can divide the input set into two subsets.
- Figure 7.2 illustrates the transformations of each layer of a sample neural network. Achieving linear separability of data (into green and red regions) is possible through a sequence of linear and nonlinear transformations. Linear transformations allow for rotating, tilting, and stretching the space, while nonlinear transformations enable deformation of the space.





 $y := \tanh(x)$ 

 $z := W \cdot x + b$ 

## **Neural Networks Linear transformations**

- Matrix multiplication and addition are the most commonly used linear transformations in neural networks:
	- *y* := *W* ⋅ *x* + *b*
- where:

 $\bullet$ 

- x is the input vector;
- W is the weight matrix;
- b is the bias vector;
- y is the output vector.

## **Neural Networks Linear transformations**

sliding the filter over the input matrix. In practice, convolutions are the input and the bias are matrices:  $Y := W \cdot X + B$ 

• **Convolution** is a linear transformation that allows calculating the dot product between the weight tensor (filter, also called kernel) and an element of the matrix along with its neighboring elements. This process is repeated by transformed into a form of matrix multiplication and addition, which improves efficiency [12], similar to the previous equation, with the difference that both

## **Neural Networks Non-linear transformations**

- Neural networks use nonlinear transformations to model the nonlinear relationship between the input and output space.
- Activation functions can be classified into three categories.
	- Piecewise Linear Activation Function. This type of function can be represented as a set of *n* linear functions  $f_i(x) = a_i x + b_i$ , where x is constrained by a lower and upper limit for each interval. Examples of such functions are:
		- **Rectified Linear Units (ReLU)**:  $f(x) = max(0,x)$
		- Leaky ReLU:  $f(x) = max(0,x) + amin(0,x)$
		- **Maxout**:  $f(x) = max(y_1, ..., y_n)$
	- **• Smooth (Regular) Activation Function** 
		- Sigmoid (logistic):  $f(x) = \frac{1}{1 + x}$  $1 + e^{-x}$
		- **Hyperbolic tangent (tanh)**: *f*(*x*) =  $e^{2x} - 1$  $e^{2x} + 1$
		- **Softplus**:  $f(x) = log(e^x + 1)$
	-

$$
\bullet \ \ softmax_i(x) = \frac{e^{x_i}}{\sum_k e^{x_k}}
$$

Softmax is most commonly used as the final layer of a neural network to determine the probability distribution for classification. The function is defined as

## **Neural Networks Non-linear transformations**

• **Pooling** is an operation that reduces the resolution of a matrix. Also known as a folding operation, it involves organizing the input data into subgroups and aggregating each subgroup, thereby reducing the dimensionality of the input data. Most commonly, the elements are aggregated using an averaging

function (mean pooling) or maximization (max pooling).

## **Privacy preserving neural networks**

- The prediction phase using neural networks boils down to a sequence of linear and nonlinear transformations.
	- Linear transformations consist of matrix multiplication and addition.
	- Nonlinear transformations involve applying activation functions or pooling operations.
- Therefore, to make the entire inference process privacy-preserving, **it is sufficient to make the operations of matrix multiplication and addition, as well as activation functions and pooling operations, privacy-preserving**.

# Secure Multi-party computation (MPC)

## **Secure multi-party computation (MPC)**

- Secure multi-party computation (MPC) is a technique that allows  $n$  independent participants to execute an arbitrary algorithm  $F(x_1, \ldots, x_n)$  in such a way that none of them learns anything about the input data of the other participants.
- For our purposes, we will focus on the case where only two parties (a client and a server) participate in executing the algorithm . This specific case is called **secure two-party computation (2PC).**





## **Secure two-party computation (2PC)**

• The simplest way to execute the algorithm  $F$  so that neither party learns anything about the other party's input data is to introduce a trusted third party (TTP), which receives the input data from both participants, executes the functionality  $F$ , and returns the computation result.



## **Secure two-party computation (2PC)**

- Introducing a trusted third party is problematic for several reasons, the most important being the often unacceptable assumption regarding the actual honesty of the TTP. Therefore, this is an assumption we strive to avoid at all costs when designing trustworthy information systems.
- 2PC addresses this problem by enabling the same functionality without the help of a TTP.



 $X_1 = \begin{bmatrix} 4 \\ 2 \\ 3 \end{bmatrix}$   $X_2 = 8880$   $Y_1 = \begin{bmatrix} 0.8 \\ 1 \end{bmatrix}$ 



## **Secure two-party computation (2PC)**



## **Secure two-party computation (2PC) Garbled Circuit (GC)**

For many years, 2PC remained largely a theoretical pursuit among cryptographers. The first practical solution is Yao's Garbled Circuit (GC) (Yao 1982).

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- For many years, 2PC remained largely a theoretical pursuit among cryptographers. The
- He proposed a Yao's Millionaires Problem which states: "Two millionaires wish to know
	-

who is richer without revealing their actual wealth."

So the goal is to compute  $a \geq b$  where  $a$  is the first party's *private* input and  $b$  is the second party's *private* input.

 $f(a, b) = a \geq b$ 

## **Secure two-party computation (2PC) Garbled Circuit (GC)**

In the first step, one of the parties (let's assume it's the server) creates a lookup table of all possible evaluations of the function  $F$ , i.e.,  $\langle F \rangle = \langle F(1,1) = 1, F(1,2) = 0, \ldots, F(4,4) = 1 \rangle.$ 

Evaluating the function  $f(a, b) = a \geq b$  represented in the form of a table  $\langle F \rangle$ involves retrieving the corresponding row from the column  $\langle F \rangle$ , for the values  $a$  and . *b*



## **Secure two-party computation (2PC) Yao's Millionaires Problem**

To simplify the example, let's assume that the numbers  $a$  and  $b$  represent the number of digits in each student's wealth, and that the maximum number of digits is 4. Let's call  $a$  as Server,  $b$  as Client. The domain of the function  $F$  is  $X = 1,2,3,4,$  and the  $\overline{a}$ codomain is  $Y = \{1,\!0\}$ , where 1 represents true and 0 represents false.

To create an unconscious version of the function  $F$  represented in the form of the table  $\langle F\rangle$ , the server encrypts the table using randomly generated keys for each value of  $a$  and  $b$ . Specifically, for each value  $a \in X$  and  $b \in X$ , the server assigns a strong pseudorandom key  $k_{\mathbf{a}} \in 0, 1^{\kappa}$  and  $k_{\mathbf{b}} \in 0, 1^{\kappa}$ , where  $\kappa$  is the security parameter indicating the bit length of the encryption key.

This creates a total of  $|X|+|X|=8$  keys  $(k_a^{(1)},k_a^{(2)},k_a^{(3)},k_a^{(4)},k_b^{(1)},k_b^{(2)},k_b^{(3)},k_b^{(4)})$ used to encrypt the function  $F$  result for each combination of arguments  $a$  and  $b$ . Let  $E_{k_a,k_b}(\ \cdot\ )$  be a symmetric encryption function that is parameterized by two encryption keys: the server key  $k_a$  for value  $a$ , and the client key  $k_b$  for value  $b$ . Encryption with  $b$ two keys can be implemented in several ways; one approach is double encryptionfirst with the server's key, and then with the client's key. Formally, this is represented as  $E_{k_a,k_b}(\ \cdot\ )=E_{k_b}(E_{k_a}(\ \cdot\ )).$ 

We denote the encrypted table  $\langle F \rangle$  as  $E(\langle F \rangle)$ 





 $b)$ 

## **Secure two-party computation (2PC) Yao's Millionaires Problem**

At this point, the table is ready. The server sends the table to the client along with the key  $k_a^{(i)}$ , where  $i$  is the value chosen by the server. Let's assume that this value is  $i=4$ , so the server sends the key  $k_a^{(4)}$  to the client.



 $b)$ 

 $\mathsf{C}$ 

a)

The table prepared by the server is almost ready to be sent to the client. Almost, because if the client received the table in this form, they could deduce both  $a$  and  $b$  based on the specific row of the table. Therefore, the final modification is to apply a random permutation so that the client cannot deduce the value of  $a$ . This results in the table Perm(*E*(⟨*F*⟩))

## **Secure two-party computation (2PC) Yao's Millionaires Problem**

Note that the key  $k_a^{(4)}$  itself is a random bit string that carries no information. It does not allow decryption of any row in the table and does not provide any clue about the server's input. The obliviousness of the computation is achieved only in the next step, which proceeds as follows.

The server conducts an Oblivious Transfer (OT) procedure with the client, allowing the client to choose one key from the set of offered keys  $k_b^{(1)}, k_b^{(2)}, k_b^{(3)}, k_b^{(4)}$  in such a way that the server does not learn which key was chosen, and the client learns nothing about the other keys besides the one they selected. In our example, let's assume the client's wealth has a digit count of  $j=3$ , so the client selects the key  $k_b^{(3)}.$ 

At this point, the client has all the necessary elements to reconstruct the value of the function  $F(a,b)$ . Combined with the previously received key  $k_a^{(4)}$ , the client can decrypt only the row encrypted with both keys  $k_a^{(4)}$  and  $k_b^{(3)}$ , which is the second row with the value  $E_{k_{a}^{(4)}, k_{b}^{(3)}}(1).$  The client decrypts the row as  $E_{k_{a}^{(4)}, k_{b}^{(3)}}(E_{k_{a}^{(4)}, k_{b}^{(3)}}(1)) = 1,$  obtaining a positive value that indicates that the server's wealth is greater, i.e.,  $F(4,3) = 4 \geq 3$ .

- 
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- 
- 
- 





 $b)$ 





 $\mathsf{C}$ 

## **Secure two-party computation (2PC) Yao's Millionaires Problem**

- The size of the table is |*X*| × |*Y*|
- 
- Each value encoded on 4 bytes
- $\cdot 2^{64} \times 4$ bytes = too large

• For two uint32's the size of the table is |uint32 |  $\times$  | uint32 |  $= 2^{32} \times 2^{32} = 2^{64}$ 

### **Secure two-party computation (2PC) Function as a lookup problem**

- The size of the table is |*X*| × |*Y*|
- 
- Each value encoded on 4 bytes
- $\cdot 2^{64} \times 4$ bytes = too large

### **Secure two-party computation (2PC) Function as a lookup problem**

#### **But, for a small domains it works fine**

### • For two uint32's the size of the table is |uint32 |  $\times$  | uint32 |  $= 2^{32} \times 2^{32} = 2^{64}$

Logic gates have a domain size of  $4 = \{0,1\} \times \{0,1\}$ . We can apply the lookup table technique to a sequence of logic gates, without blowing out the table size.

- Turing machine
- RAM machine
- Logic gate network

Thanks to Church Theorem we know that every algorithm can be represented as

## **Secure two-party computation (2PC) Function as a lookup problem**

As a result we can represent any algorithm via logic gate network. Then by representing them as an encrypted lookup table compute MPC, and we get Yao's Garbled Circuit (GC) technique.



## **Garbled Circuit (GC) technique**

- In the GC protocol, the algorithm  $F$  is represented in the form of a logical circuit  $C$ , which consists of a set of logic gates  $G = g_1, g_2, ..., g_m$ , connected by wires  $W = w_1, w_2, ..., w_n$ . Each gate  $g_i$  is a function that takes values from two input wires and returns a value on the output wire. For example, let  $g_\mathrm{OR}$ be an OR gate, with input wires  $w_1$  and  $w_2$ , and an output wire  $w_3$ . The gate  $g_{\rm OR}$  represents the function  $w_3 \leftarrow g_{\rm OR}(w_1,w_2)$ , such that
- $0 \leftarrow g_{OR}(0,0), 1 \leftarrow g_{OR}(0,1), 1 \leftarrow g_{OR}(1,0),$  and  $1 \leftarrow g_{OR}(1,1)$ .

## **Garbled Circuit (GC) technique**

- specifically, a netlist, which is then garbled using the GC protocol.
- 
- Afterwards, the netlist created in this way can be executed obliviously using the GC protocol.
- circuit as input, such as: [TinyGarble](https://github.com/esonghori/TinyGarble), [ABY](https://github.com/encryptogroup/ABY)
- [MPyC,](ttps://github.com/lschoe/mpyc/) [EzPC,](https://github.com/mpc-msri/EzPC) [EMP-Toolkit](https://github.com/emp-toolkit)
- More<https://github.com/MPC-SoK/frameworks>

• The functionality  $F$  that we want to execute obliviously must be converted into a logical circuit  $C_F$ , or more

• The transformation of functionality  $F$  into a netlist is a common method in digital circuit design. It can be done natively using languages such as Verilog or VHDL, or by using HLS (high-level synthesis) tools that allow synthesis from high-level languages such as C (e.g., SPARK, CBMC-GC) or Python (e.g., PandA). This process results in a hardware description of the logic circuit in an HDL (Hardware Description Language) format, which allows for the creation of a simplified netlist describing the components of the circuit and the connections between them.

• There are tools that facilitate these transformations. Some of them take an HDL hardware description of a logic

• Others provide a full set of tools, from a programming language to a runtime environment, such as: [MP-SPDZ,](https://github.com/data61/MP-SPDZ)

#### **Neural network inference is all about matrix multiplications and activation functions**

• We know how to convert any algorithm into an unconscious version using the GC protocol. We also know how inference is performed using neural networks. What remains is to combine the knowledge to create an unconscious neural network

• Prediction using a neural network can be modeled as a recursive sequence of matrix multiplication and addition, along with

• To achieve such isolation, we substitute the inputs of each party into the functional model  $F_{2PC}(a, b)$ , where  $a$  is the private

- prediction.
- activation/pooling functions:

• 
$$
z := W_L * f_{L-1}(\ldots f_1(W_1 * X + B_1) \ldots) + B_L
$$

- The goal of unconscious neural networks is to compute the value  $z$  in such a way that the client learns nothing about  $(W_1, W_2, ..., W_L)$  and  $(B_1, B_2, ..., B_L)$ , while the server learns nothing about  $X$  and  $z$ .
- input of one party and  $b$  is the private input of the other party. In our case,  $a=X$  and  $b = ((W_1, W_2, ..., W_L), (B_1, B_2, ..., B_L))$ . As a result, we have:
- $F_{2PC}(X, ((W_1, W_2, \ldots, W_L), (B_1, B_2, \ldots, B_L))) = W_L * f_{L-1}(\ldots f_1(W_1 * X + B_1) \ldots) + B_L$

## **Oblivious Neural Network pipeline**



source: https://stan.bar/papers/ONN-KASKBook2022.pdf

## **Performance**

#### Table 3: Comparison of secure deep learning frameworks, their characteristics, and performance results for classifying one image from the MNIST dataset in the LAN setting.



Table 4: Classification time (in seconds) and communication costs (in megabytes) of Chameleon for different batch sizes of the MNIST dataset in the WAN setting (100 Mbit/s bandwidth, 100 ms round-trip time).



#### Source:<https://dl.acm.org/doi/pdf/10.1145/3196494.3196522#page=10>

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- Table 5: Classification time (in seconds) and communication costs (in gigabytes) of secure deep learning frameworks for one image from the CIFAR-10 dataset in the LAN setting.





## **LLM**

- **Privacy-Preserving Large Language Mode** [\(PPLLMs\)](https://www.researchgate.net/profile/Mohammad-Raeini/publication/372607103_Privacy-Preserving_Large_Language_Models_PPLLMs/links/64d0588540a524707b9c1efe/Privacy-Preserving-Large-Language-Models-PPLLMs.pdf)
- **PermLLM: Private Inference of Large** Language Models within 3 Seconds under [WAN](https://arxiv.org/pdf/2405.18744)
- **Faster Lookup Table Evaluation with** [Application to Secure LLM Inference](https://eprint.iacr.org/2024/1093.pdf)

TABLE V: End-to-end comparisons with the existing private inference frameworks. The numbers of Iron and CipherGPT are taken from their papers. The timings of SIGMA include both the key-transmission and online inference, which are estimated based on our bandwidth. GPT2 models generated 1 token. Frameworks marked with "\*" are not 2PC framework.





Figure 2: Time consumption and communication size of the ChatGLM-6B private inference.





## **State of the art**



- Zhejiang University)
- CraterLake (Massachusetts Institute of Technology)
- Iron (University of Electronic Science and Technology of China, Nanyang Technological University)
- BOLT (Carnegie Mellon University UC Berkeley, Technical University of Darmstadt)

Table 1: Comparing the runtime (sec) and communication (MB) costs of our matrix multiplication and non-linear protocols with SOTA



• BumbleBee (Ant Group, Alibaba Group, TABLE 3: Communication cost and rounds comparing Iron with BOLT. The costs of the components are for one layer, and there are 12 layers in BERT.<br>Zheilang University









 $F_1(x_1,...,x_n) =$  DerivePubKey(DerivePrivKey(SS $(x_1,...,x_n)$ ))

 $\sim$ 

#### Voting





 $F_2(x_1,...,x_n, \text{votes}) = \text{Count}(\text{Decrypt}(\text{votes}, \text{DerivePrivKey}(\text{SS}(x_1,...,x_n))))$ 





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